THE U.S. NUCLEAR WAR PLAN: A TIME FOR CHANGE

Authors
Matthew G. McKinzie
Thomas B. Cochran
Robert S. Norris
William M. Arkin

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Reports Manager

Production

Emily Cousins

Bonnie Greenfield

Cover Artist

NRDC Director of Communications

Alan Metrick

Jenkins & Page NRDC President

NRDC Executive Director

John Adams

Frances Beinecke

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CONTENTS

Executive Summary	ix
Fighting Real Nuclear Wars: The Results	x
What We Recommend	xi
Chapter One: Purpose and Goals	1
An Overview	4
Chapter Two: The Single Integrated Operational Plan and	5
U.S. Nuclear Forces	
A Brief History of the SIOP	5
The SIOP Planning Process	9
The Major Attack Options	11
Armament Demands of the SIOP	13
The SIOP and Deterrence	14
Chapter Three: The NRDC Nuclear War Simulation Model	17
Characteristics of the Attacking Nuclear Forces	17
Target Data	20
The Effects of Nuclear Explosions	25
Meteorological Data	36
Russian Demographic Data	36
Putting It All Together: The NRDC Software and Database Suite	39
Chapter Four: Attacking Russia's Nuclear Forces	41
Silo-Based ICBMs	42
Road-Mobile ICBMs	51
Rail-Mobile ICBMs	60
SSBN Bases and Facilities	65
Long-Range Bomber Bases and Facilities	81
Nuclear Weapon Storage Sites	89
The Nuclear Weapon Design and Production Complex	96
Command, Control, and Communications	103
Conclusion	108
Chapter Five: Attacking Russian Cities: Two Countervalue Scenarios	113
"Assured Destruction:" Targeting Population Centers	114
Two Countervalue Scenarios	118
Revisiting McNamara's Knee	126

	pter Six: Conclusions and Policy Recommendations	129 131
Neco	ommendations	131
Δnn	endices	135
		135
	pendix A: Functional Classification Codes	
	pendix B: Data Fields in the NRDC Russian Target Database	149
	endix C: NRDC Russian Target Database Target Classes,	152
Cate	egories, and Types	
App	endix D: Nuclear Weapons Effects Equation List	161
End	notes	191
Abo	ut the Authors	198
	of Tables	
3.1	Summary Data for the Four Alert Levels of the Current U.S.	18
2.2	Strategic Arsenal Characteristics of Delivery Vehicles and Needoor Workerd Types	19
3.2	Characteristics of Delivery Vehicles and Nuclear Warhead Types in the U.S. Arsenal	19
3.3	Conversion of Minutes and Seconds to Meters as a Function of Latitude	23
3.4	Nuclear Weapon Types and Their Associated Yield Ranges	26
3.5	Casualty Calculations for Ten Indian and Pakistani Cities	30
3.6	U.S. DOD Vulnerability Assessments for Nuclear Weapons Blast Effects	35
4.1	Vulnerability Numbers for Soviet-Built Silo Types	43
4.2	Single-Shot and Double-Shot Kill Probabilities for U.S. ICBM and SLBM	44
	Warheads Attacking Active Russian Silo Types	
4.3	Attacking Two Types of SS-25 Garrison Structures	56
4.4	Probabilities of Achieving Severe and Moderate Damage as a Function	56
	of the Separation Between the Explosion and the Target for the	
	Earth-Mounded Structure Type Associated with SS-25 Garrisons	
4.5	Nuclear Weapons Vulnerability Data for Rail Systems	64
4.6	Calculated Casualties and Fatalities from Five 100-kt Air Bursts over Russia's SS-24 Bases	65
4.7	Nuclear Weapons Vulnerability Data for Naval Targets	71
4.8	Definitions of Damage Levels for Naval Targets	72
4.9	Northern Fleet Aimpoints for Two Levels of Attack	73
4.10	Pacific Fleet Aimpoints for Three Levels of Attack	74
4.11	Summary List of Air Base and Other Strategic Aviation Targets	84
4 10	for MAO-NF Physical Virlagraphility Data for Russian Aircraft and Other Arietian	oe.
4.12	Physical Vulnerability Data for Russian Aircraft and Other Aviation	85
112	Targets Known or Presumed Operational Nuclear Weapon Storage Sites in Russia	92
	Physical Vulnerability Data for Soviet-Built Nuclear Weapon Storage	94
1.17	Facilities	71

4.15	Targeting Information for the Russian Nuclear Weapons Design and Production Complex	đ	99
4.16	Casualty and Fatality Data for the Attack on the Russian Nuclear W Design and Production Complex	Veapons	99
4.17	Geographically Distinct Russian Satellite Earth Stations and Their F	unctions	105
4.18	Electromagnetic Frequency Bands and Statistics for Russian Transm Stations	nission	107
5.1	McNamara's "Assured Destruction" Calculations for a U.S. Attack on Soviet Urban/Industrial Targets		115
5.2	McNamara's "Assured Destruction" Calculations for a Soviet Attac on U.S. Urban/Industrial Targets	k	116
5.3	Trident and Minuteman III Weapon System Parameters for the Two NRDC Countervalue Scenarios		119
5.4	Vulnerability Numbers and Damage Radii for Various Building Typ	oes	122
5.5	Estimated Casualty Production in Buildings for Three Degrees of Structural Damage		124
5.6	Casualty Results for the Countervalue Attack Scenarios		125
5.7	NRDC "Assured Destruction" Calculations Using 1999 World Population Data		126
Lint	of Figures		
	of Figures Locations of U.S. Nuclear Forces		19
3.1			21
3.2 3.3	A Geo-referenced Moscow Street Atlas		24
3.4	Corona Satellite Image of the Nenoksa SLBM Test-Launch Facility Ikonos Satellite Image of the Russian Rybachiy Nuclear Submarine	Base	25
3.5	Initial Radiation Output of Four Nuclear Weapon Designs	Dase	27
3.6	Hiroshima Casualties		28
3.7	Ten Indian and Pakistani Cities for Which Hiroshima-Like Casualtic Were Calculated	es	29
3.8	Percentages of the Population Killed, Injured, and Safe		30
3.9	A One-Megaton Air Burst over New York City		31
3.10	Threshold Height of Burst for the Occurrence of Local Fallout		31
3.11	Fallout Data and Calculations for the U.S. Test "Sugar"		32
3.12	Fallout Data and Calculations for the U.S. Test "Ess"		33
3.13	Fallout Data and Calculations for the U.S. Test "Bravo"		34
3.14	Geo-referenced Population Centers, European Russia		37
3.15	Geo-referenced Population Centers, Siberia and Far East		38
3.16	The 87 Russian Political-Administrative Units		38
3.17	U.S. Government-Produced LandScan Population Distribution for t St. Petersburg Vicinity	he	39
3.18	The NRDC Nuclear War Software and Database		40
4.1	Past and Present ICBM Silo Fields		42
4.2	Peak Blast Overpressure Damage to Soviet-Built Silos		43
4.3	Double-Shot Kill Probabilities for W87 and W88 Warheads Against		45
	Russian SS-18 and SS-11/19 Silo Types		
4.4	Fallout Patterns from an Attack on All Active Russian ICBM Silos		45
4.5	Summary Casualty Data for an Attack on Russian ICBM Silos		46
4.6	Summary Fatality Data for an Attack on Russian ICBM Silos	י אמי	46
4.7	Monthly Variation of Fallout Casualties for an Attack on Russian IC Silos Assuming Weapon Fission Fractions of 50 Percent and No She		47

4.8	Monthly Variation of Fallout Casualties for an Attack on Russian ICBM Silos Assuming Weapon Fission Fractions of 80 Percent and Sheltering Typical of Residential Structures	47
10	71	48
4.9	Casualties, as a Function of Missile Field and Sheltering	48
4.10	Fatalities, as a Function of Missile Field and Sheltering	49
4.11	A Close-up of the Kozelsk Missile Field Fallout Pattern	49
4.12	A Close-up of the Tatishchevo Missile Field Fallout Pattern	
4.13	A Close-up of Fallout Impacting Kazakhstan	50
4.14	A Drawing of Deployed Russian SS-25 Launchers	51
4.15	SS-25 Bases, Garrisons, and Deployment Areas	52
4.16	Teykovo SS-25 Garrisons and Main Operating Base	53
4.17	Irkutsk SS-25 Garrisons and Main Operating Base	53
4.18	Ikonos Satellite Image of Two SS-25 Garrisons at Yur'ya	54
4.19	Diagrams of SS-25 Road-Mobile Garrisons	55
4.20	Twelve-Warhead Attack on the Nizhniy Tagil SS-25 Garrisons and Base	57
4.21	Twelve-Warhead Attack on the Teykovo SS-25 Garrisons and Base	58
	Summary Casualty Data for an Attack on Russian SS-25 Garrisons and Bases	58
	Summary Fatality Data for an Attack on Russian SS-25 Garrisons and Bases	59
4.24	Casualties as a Function of the Month of the Year for an Attack on Russian SS-25 Garrisons and Bases	59
4.25	Maximum Casualties Associated with Each Road-Mobile Garrison/ Base Complex	60
4.26	A Drawing of an SS-24 Train and Missile	61
	Russia's Railroad Network and the Three SS-24 Rail-Mobile ICBM Bases	61
	Kostroma Rail-Mobile ICBM Base	62
	An Ikonos Satellite Image of the Bershet' Rail-Mobile ICBM Base	62
		63
4.31	Damage Probability Contours for the Specified Target Types at the	63
2.0.2	Bershet' Rail-Mobile SS-24 Base	
4.32	Soviet SSBN Patrol Areas circa 1987	66
	Main Sites of the Russian Northern Fleet	68
	Main Sites of the Russian Pacific Fleet in Primorskiy Kray	69
	The Russian Naval Base of Rybachiy on the Kamchatka Peninsula	70
4.36	Probability of Severe Damage to Surfaced Submarines, Aircraft Carriers,	70
1.00	and Destroyers for a W76 Ground Burst as a Function of Distance	
	Between Ground Zero and Target	
4.37	Fallout Patterns over the Kola Peninsula for the First Level of Attack	75
	Fallout Patterns over the Kola Peninsula for the Second Level of Attack	<i>7</i> 5
	Summary Casualty Data for the First Level of Attack on the Russian	76
1.07	Northern Fleet	
4.40	Summary Casualty Data for the Second Level of Attack on the Russian	76
1.10	Northern Fleet	
4.41	Casualties and Fatalities as a Function of the Month of the Year for the	77
1.11	First Level of Attack against the Russian Northern Fleet	
4 42	Casualties and Fatalities as a Function of the Month of the Year for the	77
4.42	Second Level of Attack against the Russian Northern Fleet	,,
1 13	Fallout Patterns from the Attack on the Rybachiy Naval Base	78
	Fallout Patterns from the Second Level of Attack Against the Russian	78
4.44	Pacific Fleet	,0
4.45	An Attack on the Vladivostok Harbor, Part of the Third Level of Attack	<i>7</i> 9
1.TU	Against the Russian Pacific Fleet	,,

4.46	Summary Casualty Data for the Second Level of Attack on the Russian Pacific Fleet	79
4.47	Summary Casualty Data for the Third Level of Attack on the Russian Pacific Fleet	80
4.48	Monthly Variation in Casualties and Fatalities for the Second Level	80
1.10	of Attack Against the Russian Pacific Fleet	
4.49	Monthly Variation in Casualties and Fatalities for the Third Level of	80
4.47	Attack Against the Russian Pacific Fleet	00
4.50	Corona Satellite Image of Ukrainka Air Base	81
4.51	Engels Air Base, near the City of Saratov	82
		83
4.53	Anadyr Air Base Air and Ground Bursts of W76 Warheads at Ukrainka Air Base	86
4.54	Kazan State Aviation Plant	86
4.55	Summary Casualty Data for the First Level of Attack on Russian	87
4.55		07
1 56	Long-Range Bomber Bases and Facilities Summary Casualty Data for the Second Level of Attack on Russian	88
4.56		00
4 57	Long-Range Bomber Bases and Facilities May the Virginia in Consolities and Fatalities for the First Loyal of	88
4.57	Monthly Variation in Casualties and Fatalities for the First Level of	00
4.50	Attack on Russian Long-Range Bomber Bases and Facilities	88
4.58	Monthly Variation in Casualties and Fatalities for the Second Level	00
4.50	of Attack on Russian Long-Range Bomber Bases and Facilities	89
4.59	Fallout Patterns for Strategic Aviation Targets in the Moscow Area	
4.60	Known or Presumed Nuclear Weapon Storage Sites in Russia	90
	General Schematic of a Russian Nuclear Weapon Storage Site	91
4.62	A Map of the Belgorod-22 Nuclear Weapon Storage Site	91
4.63	A Map of the Attack on the National-Level Storage Sites in the Vicinity	95
1.4	of Moscow	95
4.64	Summary Casualty Data for an Attack on the Russian National-Level	93
	Nuclear Weapon Storage Sites as a Function of Population Sheltering	06
4.65	Monthly Variation in Casualties and Fatalities for an Attack on the	96
	Russian National-Level Nuclear Warhead Storage Sites	07
4.66	The Ten Closed Cities and One Open City (Angarsk) of the Russian Nuclear Weapon Design and Production Complex	97
4.67	The Sarov Avangard Warhead Production Plant	98
4.68	Sarov	98
4.69	Ozersk	100
4.70	Snezhinsk	100
4.71	Zarechny	101
4.72	Seversk	101
4.73	Angarsk	102
4.74	Russian Strategic Communication Pathways	104
4.75	Intermediate-Echelon Strategic Leadership, Satellite and Space	104
	Communications, and Telecommunications and Electronic Warfare	
	Entries in the NRDC Russian Target Database	
4.76	Russia's Two Space Tele-Command Centers and 45 Earth Satellite Station	ns 106
4.77	Russian Radio Transmission Stations	107
4.78	Histogram of the Number of Potential C ³ Targets for which the Given	108
	Range of People Live within a 5-kilometer Radius	
4.79	Summary Casualty Data for MAO-NF	108
4.80	Summary Fatality Data for MAO-NF	109
4.81	MAO-NF Casualties and Fatalities as a Function of Month of the Year	109
	MAO-NF Casualties Separately Evaluated for the Eight Components	110
	of Russia's Nuclear Forces	

4.83	The Allocation of U.S. Warheads to the Eight Categories of Russian	111
	Targets in NRDC's MAO-NF	
4.84	Fallout Patterns from MAO-NF Across the Russian Landmass	111
5.1	A Trident II SLBM Being Launched	118
5.2	A Map Showing the 192 Targets in European Russian for the Trident	119
	Scenario and Buffered Distances	
5.3	A Map Showing the 150 Aimpoints Throughout Russia for the	120
	Minuteman III Scenario	
5.4	Probability of Being a Casualty as a Function of Distance from	120
	Ground Zero	
5.5	Probability of Being a Fatality as a Function of Distance from Ground Zero	121
5.6	Fallout Patterns for the Trident Scenario with Ground Bursts	121
5.7	Fallout Patterns for the Minuteman III Scenario with Ground Bursts	123
5.8	Casualties as a Function of Sheltering and Warhead Fission Fraction	123
	for the Trident Scenario	
5.9	Casualties as a Function of Sheltering and Warhead Fission Fraction	125
	for the Minuteman III Scenario	
5.10	The 300 Population Targets for All NATO Member Countries and the	127
	368 Population Targets in China	

EXECUTIVE SUMMARY

Through the use of personal computers, customized computer software, and unclassified databases, the Natural Resource Defense Council (NRDC) is now able to model nuclear conflict and approximate the effects of the use of nuclear weapons. For the first time, this allows non-governmental organizations and scholars to perform analyses that approximate certain aspects of the U.S. nuclear war plan known as the Single Integrated Operational Plan (SIOP).

Initiated during the Eisenhower administration, the SIOP is the war plan that directs the employment of U.S. nuclear forces in any conflict or scenario, and is the basis for presidential decision-making regarding their use. The plan results from highly classified guidance from the President, the Secretary of Defense, and the Joint Chiefs of Staff. The Joint Chiefs of Staff then set requirements for how much damage our nuclear warheads must achieve. Most of the requirements call on U.S. Strategic Command to target Russia, but China and other nations are also viewed as potential adversaries.

The SIOP's logic and assumptions about nuclear war planning influence U.S. national security policy, arms control strategy, and international politics. Though the Cold War has ended, and the SIOP has been through a number of reforms as forces have been reduced, it continues to dictate all matters concerning the U.S. preparations for nuclear war. It establishes mock nuclear war scenarios and requirements that shape U.S. negotiating positions in the Strategic Arms Reduction Treaty (START) arms control process. The SIOP also determines what number of nuclear warheads must be kept at various alert levels.

As the SIOP is one of the most secret documents in the U.S. government, it is difficult to discover what the specific assumptions are upon which it rests. Congress has been powerless to influence the SIOP, and even presidents have only a superficial understanding of the process of nuclear war planning. The secrecy is ostensibly justified to protect certain characteristics about U.S. nuclear forces and warheads, various nuclear weapons effects information, and the specific targets chosen in Russia. But all of these data are known well enough today to provide a quite sophisticated approximation of the actual SIOP assumptions, and the effects of its various nuclear war scenarios. One of the most significant changes since the end of the Cold War has been the greater openness in Russia whereby a high quality database of nuclear, military, and industrial targets can be created using open sources.

Given the central role of the SIOP in national security, nuclear weapons, and arms control policy, NRDC decided to create a tool that will help the non-governmental community assess nuclear war planning and its impacts. We have compiled our own databases of information on weapons, population, effects, and targets to recreate the most important calculations of nuclear war planning. We integrated an enormous quantity of data from open sources, including commercial data on the Russian infrastructure, official arms control data about the structure of Russian nuclear forces, declassified U.S. documents, census and meteorological data, U.S. and Russian maps and charts, U.S. government and commercial satellite imagery, and U.S. nuclear weapons effects data and software.

Using these resources, we developed a suite of nuclear war analysis models based upon the ESRI ArcView software program. From this model and a database

of weapons and targets, we constructed and analyzed in detail two quite different scenarios of a possible nuclear attack on Russia:

- ▶ A major U.S. thermonuclear "counterforce" attack on Russian nuclear forces. For this attack, we employed approximately 1,300 strategic warheads using current U.S. weapons. We calculated the damage to these targets and the resulting civilian deaths and injuries.
- ▶ A U.S. thermonuclear "countervalue" attack on Russian cities. For this attack, we used a "minimum" force (150 silo-based intercontinental ballistic missile warheads or 192 submarine-launched ballistic missile warheads). We assessed the ensuing civilian deaths and injuries.

FIGHTING REAL NUCLEAR WARS: THE RESULTS

We used actual data about U.S. forces and Russian targets to approximate a major counterforce SIOP scenario. Our analysis showed that the United States could achieve high damage levels against Russian nuclear forces with an arsenal of about 1,300 warheads—less than any of the proposals for a START III treaty. According to our findings, such an attack would destroy most of Russia's nuclear capabilities and cause 11 to 17 million civilian casualties, 8 to 12 million of which would be fatalities.

Our analysis concluded that in excess of 50 million casualties could be inflicted upon Russia in a "limited" countervalue attack. That attack used less than three percent of the current U. S. nuclear forces, which includes over 7,000 strategic nuclear warheads.

One of the historic tenets of nuclear orthodoxy—influential in inspiring the original SIOP—was that countervalue attacks against cities and urban areas were "immoral" whereas counterforce attacks against Soviet (and later, Russian) nuclear forces were a better moral choice. The implied assumption and intent was that attacks could be directed against military targets while cities and civilian concentrations were spared. In reality, things are not so simple, nor can there be such pure isolation between civilian and military. Most difficult of all is to find moral benchmarks when it comes to the targeting of nuclear weapons.

Our analysis challenges that basic assumption. Even the most precise counterforce attacks on Russian nuclear forces unavoidably causes widespread civilian deaths due to the fallout generated by numerous ground bursts. While the intention to avoid civilian casualities is important and is probably included in the guidance, nuclear weapons by their nature live up to their billing as "Weapons of Mass Destruction." We saw this clearly in our simulation of a counterforce attack. We found the effects were complex and unpredictable and therefore uncontrollable from a war planner's perspective. These included such variables as the proximity of urban centers to military targets, whether the population was sheltered or not, and the speed and direction of the wind.

The point here is not to argue for attacking Russian cities or for attacking Russian forces as U.S. nuclear policy. But given the vast number of deaths that occur with the use of a few weapons, we have to ask why the U.S. nuclear forces need to be so

large? If the United States can destroy Russia's standing forces and cause 11 to 17 million casualties in a counterforce attack, should not that be enough to "deter" any conceivable attack by Russia? To go a step further, if the United States went to a minimum force, it would still be able to cause upwards of 50 million casualties. That fact too should be enough to convince Russia or anyone not to use nuclear weapons against the United States.

In light of the findings from our computer simulation of the two nuclear scenarios, we are more convinced than ever that the basic assumptions about U.S. nuclear deterrence policy, and the possession of huge nuclear arsenals needs to be re-examined. The logic of the nuclear war plan expressed in the current SIOP ignores the grotesque results that would occur if the weapons were used. Those results need to be exposed.

WHAT WE RECOMMEND

- **1. Unilaterally reduce U.S. nuclear forces and challenge Russia to do the same.** The sole rational purpose for possessing nuclear weapons by the United States is to deter the use of nuclear weapons by another country. Recommendations for specialized arsenals to fulfill a variety of illusory roles for nuclear weapons are expressions of irrational exuberance. At this stage in the disarmament process, a U.S. stockpile numbering in the hundreds is more than adequate to achieve the single purpose of deterrence. Even that number, as we have seen, is capable of killing or injuring more than a third of the entire Russian population, and destroying most major urban centers.
- **2. Clarify the U.S. relationship with Russia and reconcile declaratory and employment policy.** In his May speech at the National Defense University, President Bush said, "Today's Russia is not our enemy." That said, the United States has not yet decided whether Russia is our enemy or our friend, or something in between. The act of targeting defines an individual, a group, or a nation as an enemy. We continue to target Russia with nuclear weapons and devise options and plans for their use. The process itself reduces Russia from flesh and blood to models and scenarios, allowing the contradictory stance to continue. If our words and our actions are to correspond, it is obvious that major changes must take place in the way the United States postures its nuclear forces and plans for their use.
- **3. Abandon much of the secrecy that surrounds the SIOP and reform the process.** Any discussion of U.S. nuclear policy and strategy is undermined by the fact that most of the details surrounding the SIOP are highly guarded secrets. Because of compartmentalization, only a very few have an understanding of the SIOP. The presidential and Pentagon guidance too is so closely held, that no one can question the assumptions or the logic. The nuclear war planning function now resident within U.S. Strategic Command has become a self-perpetuating constituency that needs fundamental reform. Much of the secrecy that surrounds the SIOP can be abandoned without any loss to national security. Therefore, a joint civilian-military staff, with Congressional involvement and oversight, should plan the use of nuclear weapons.

The current SIOP is an artifact of the Cold War that has held arms reduction efforts hostage. It is time to replace it with something else.

- **4. Abolish the SIOP** as it is currently understood and implemented. Having a permanent war plan in place that demands widespread target coverage with thousands of weapons on high alert is a recipe for unceasing arms requirements by the Pentagon and a continuing competition with Russia and others. It is for this reason that we conclude that the over-ambitious war plan is a key obstacle to further deep arms reductions. The current SIOP is an artifact of the Cold War that has held arms reduction efforts hostage. It is time to replace it with something else.
- **5. Create a contingency war planning capability.** Under new presidential guidance, the United States should not target any country specifically but create a contingency war planning capability to assemble attack plans in the event of hostilities with another nuclear state. This new paradigm would alleviate the requirement for possessing large numbers of weapons and eliminate the need for keeping those that remain on high levels of alert. This shift would also help break the mind-set of the Cold War. We are in agreement with President Bush when he says that we must get beyond the Cold War. We believe, however, that his approach is not the "clear and clean break with the past" that he says he wants. Instead, by assuming a wider range of uses for nuclear weapons, by making space a theater for military operations, and by considering new or improved nuclear warheads for a future arsenal, President Bush is offering more of the same.
- **6. Reject the integration of national missile defense with offensive nuclear deterrent forces.** Current, worst-case SIOP planning demands that both the United States and Russia prepare for the contingency of striking the other first, though it is not stated U.S. or Russian declaratory policy. Introducing national missile defense, which invariably complements offensive forces, will exacerbate the problem. The technological challenges of national missile defense are formidable, the price tag enormous, and if deployed, will provoke a variety of military responses and countermeasures, leaving the U.S. less secure rather than more secure. China, for instance, has long had the ability to deploy multiple warheads on its ballistic missiles and has chosen not to do so. Currently only a small number, less than two-dozen Chinese single-warhead missiles, can reach the United States. A guaranteed way to increase that number would be for the United States to abrogate the Anti-Ballistic Missile Treaty and to deploy a national missile defense system. Furthermore, national missile defenses would likely undermine opportunities for deeper reductions.